

Please type a plus sign (+) inside this box → PTO/SB/05 (4/98)
Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U S DEPARTMENT OF COMMERCE

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UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. 1998DE503/Cont.

First Inventor or Application Identifier Reinhard DOENGES, et al.

Title Water-Soluble,Sulfoalkyl-Containing,...Paints

Express Mail Label No. ET253403041US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. * Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)

2. Specification [Total Pages 21]
(preferred arrangement set forth below)

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

3. Drawing(s) (35 U.S.C. 113) [Total Sheets 1]

4. Oath or Declaration [Total Pages 2]

- a Newly executed (original or copy)
- b Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed)
 - i. DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

NOTE FOR ITEMS 1 & 13 IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27); EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

5. Microfiche Computer Program (Appendix)

6. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

- a. Computer Readable Copy
- b. Paper Copy (identical to computer copy)
- c. Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. Assignment Papers (cover sheet & document(s))

8. 37 C.F.R. § 3.73(b) Statement Power of
(when there is an assignee) Attorney

9. English Translation Document (if applicable)

10. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS
Citations

11. Preliminary Amendment

12. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)

* Small Entity Statement(s) Statement filed in prior application
(PTO/SB/09-12) Status still proper and desired

13. Certified Copy of Priority Document(s)
(if foreign priority is claimed)

14. Other:

15. Other:

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

 Continuation Divisional Continuation-in-part (CIP) of prior application No: 09/427,351

Prior application information: Examiner E. Peselev Group / Art Unit: 1623

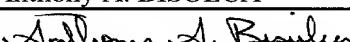
For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

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or Correspondence address below

Name	25255 PATENT TRADEMARK OFFICE			
Address				
City	State		Zip Code	
Country	Telephone		Fax	

Name (Print/Type)	Anthony A. BISULCA	Registration No. (Attorney/Agent)	40,913
Signature			Date 9/19/01

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FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision
Small Entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.
See 37 C.F.R. §§ 1.27 and 1.28

TOTAL AMOUNT OF PAYMENT (\$710.00)

Complete if Known	
Application Number	
Filing Date	September 19, 2001
First Named Inventor	Reinhard DOENGES, et al.
Examiner Name	To be assigned
Group / Art Unit	To be assigned
Attorney Docket No.	1998DE503/Cont

METHOD OF PAYMENT (check one)				FEE CALCULATION (continued)																																																																																																																																																															
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number 03-2060</p> <p>Deposit Account Name Clariant Corporation</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17</p>				<p>3. ADDITIONAL FEES</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Large Entity Fee Code (\$)</th> <th style="text-align: left;">Small Entity Fee Code (\$)</th> <th style="text-align: left;">Fee Description</th> <th style="text-align: right;">Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205 65 Surcharge - late filing fee or oath</td><td style="text-align: right;">0.00</td></tr> <tr><td>127</td><td>50</td><td>227 25 Surcharge - late provisional filing fee or cover sheet.</td><td style="text-align: right;">0.00</td></tr> <tr><td>139</td><td>130</td><td>139 130 Non-English specification</td><td style="text-align: right;">0.00</td></tr> <tr><td>147</td><td>2,520</td><td>147 2,520 For filing a request for reexamination</td><td style="text-align: right;">0.00</td></tr> <tr><td>112</td><td>920*</td><td>112 920* Requesting publication of SIR prior to Examiner action</td><td style="text-align: right;">0.00</td></tr> <tr><td>113</td><td>1,840*</td><td>113 1,840* Requesting publication of SIR after Examiner action</td><td style="text-align: right;">0.00</td></tr> <tr><td>115</td><td>110</td><td>215 55 Extension for reply within first month</td><td style="text-align: right;">0.00</td></tr> <tr><td>116</td><td>380</td><td>216 190 Extension for reply within second month</td><td style="text-align: right;">0.00</td></tr> <tr><td>117</td><td>870</td><td>217 435 Extension for reply within third month</td><td style="text-align: right;">0.00</td></tr> <tr><td>118</td><td>1,360</td><td>218 680 Extension for reply within fourth month</td><td style="text-align: right;">0.00</td></tr> <tr><td>128</td><td>1,850</td><td>228 925 Extension for reply within fifth month</td><td style="text-align: right;">0.00</td></tr> <tr><td>119</td><td>300</td><td>219 150 Notice of Appeal</td><td style="text-align: right;">0.00</td></tr> <tr><td>120</td><td>300</td><td>220 150 Filing a brief in support of an appeal</td><td style="text-align: right;">0.00</td></tr> <tr><td>121</td><td>260</td><td>221 130 Request for oral hearing</td><td style="text-align: right;">0.00</td></tr> <tr><td>138</td><td>1,510</td><td>138 1,510 Petition to institute a public use proceeding</td><td style="text-align: right;">0.00</td></tr> <tr><td>140</td><td>110</td><td>240 55 Petition to revive - unavoidable</td><td style="text-align: right;">0.00</td></tr> <tr><td>141</td><td>1,210</td><td>241 605 Petition to revive - unintentional</td><td style="text-align: right;">0.00</td></tr> <tr><td>142</td><td>1,210</td><td>242 605 Utility issue fee (or reissue)</td><td style="text-align: right;">0.00</td></tr> <tr><td>143</td><td>430</td><td>243 215 Design issue fee</td><td style="text-align: right;">0.00</td></tr> <tr><td>144</td><td>580</td><td>244 290 Plant issue fee</td><td style="text-align: right;">0.00</td></tr> <tr><td>122</td><td>130</td><td>122 130 Petitions to the Commissioner</td><td style="text-align: right;">0.00</td></tr> <tr><td>123</td><td>50</td><td>123 50 Petitions related to provisional applications</td><td style="text-align: right;">0.00</td></tr> <tr><td>126</td><td>240</td><td>126 240 Submission of Information Disclosure Stmt</td><td style="text-align: right;">0.00</td></tr> <tr><td>581</td><td>40</td><td>581 40 Recording each patent assignment per property (times number of properties)</td><td style="text-align: right;">0.00</td></tr> <tr><td>146</td><td>690</td><td>246 345 Filing a submission after final rejection (37 CFR § 1.129(a))</td><td style="text-align: right;">0.00</td></tr> <tr><td>149</td><td>690</td><td>249 345 For each additional invention to be examined (37 CFR § 1.129(b))</td><td style="text-align: right;">0.00</td></tr> <tr><td colspan="4">Other fee (specify) _____</td></tr> <tr><td colspan="4">Other fee (specify) _____</td></tr> <tr> <td colspan="4" style="text-align: right;">SUBTOTAL (1) (\$710.00)</td> <td colspan="4" style="text-align: right;">SUBTOTAL (3) (\$0.00)</td> </tr> <tr> <td colspan="4" style="text-align: right;">Reduced by Basic Filing Fee Paid</td> <td colspan="4" style="text-align: right;">Complete if applicable</td> </tr> <tr> <td colspan="2">SUBMITTED BY</td> <td colspan="2"></td> <td colspan="2"></td> <td colspan="2"></td> </tr> <tr> <td>Name (Print/Type)</td> <td colspan="2">Anthony A. Bisulca</td> <td>Registration No. (Attorney/Agent)</td> <td>40.913</td> <td>Telephone</td> <td colspan="2">704-395-6701</td> </tr> <tr> <td>Signature</td> <td colspan="2"><i>Anthony A. Bisulca</i></td> <td>Date</td> <td colspan="4">9/19/01</td> </tr> </tbody> </table>				Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	105	130	205 65 Surcharge - late filing fee or oath	0.00	127	50	227 25 Surcharge - late provisional filing fee or cover sheet.	0.00	139	130	139 130 Non-English specification	0.00	147	2,520	147 2,520 For filing a request for reexamination	0.00	112	920*	112 920* Requesting publication of SIR prior to Examiner action	0.00	113	1,840*	113 1,840* Requesting publication of SIR after Examiner action	0.00	115	110	215 55 Extension for reply within first month	0.00	116	380	216 190 Extension for reply within second month	0.00	117	870	217 435 Extension for reply within third month	0.00	118	1,360	218 680 Extension for reply within fourth month	0.00	128	1,850	228 925 Extension for reply within fifth month	0.00	119	300	219 150 Notice of Appeal	0.00	120	300	220 150 Filing a brief in support of an appeal	0.00	121	260	221 130 Request for oral hearing	0.00	138	1,510	138 1,510 Petition to institute a public use proceeding	0.00	140	110	240 55 Petition to revive - unavoidable	0.00	141	1,210	241 605 Petition to revive - unintentional	0.00	142	1,210	242 605 Utility issue fee (or reissue)	0.00	143	430	243 215 Design issue fee	0.00	144	580	244 290 Plant issue fee	0.00	122	130	122 130 Petitions to the Commissioner	0.00	123	50	123 50 Petitions related to provisional applications	0.00	126	240	126 240 Submission of Information Disclosure Stmt	0.00	581	40	581 40 Recording each patent assignment per property (times number of properties)	0.00	146	690	246 345 Filing a submission after final rejection (37 CFR § 1.129(a))	0.00	149	690	249 345 For each additional invention to be examined (37 CFR § 1.129(b))	0.00	Other fee (specify) _____				Other fee (specify) _____				SUBTOTAL (1) (\$710.00)				SUBTOTAL (3) (\$0.00)				Reduced by Basic Filing Fee Paid				Complete if applicable				SUBMITTED BY								Name (Print/Type)	Anthony A. 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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: DOENGES, et al.,

Application No.: To be assigned

Filed: 09/19/2001

Group No.: To be assigned

Examiner: To be assigned

For: Water-Soluble, Sulfoalkyl-Containing, Hydrophobically
Modified Cellulose Ethers, Processes For Preparing Them,
And Their Use In Emulsion Paints

Commissioner for Patents and Trademarks
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

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Date of Deposit 09/19/2001

I hereby state that the following *attached* paper or fee

Utility Patent Application Transmittal (1 sheet)

Fee Transmittal (1 sheet)

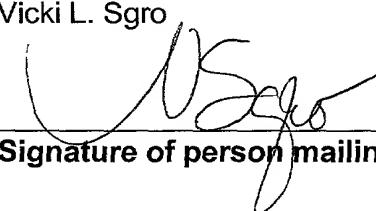
Preliminary Amendment (sheet)

Copy of Application as filed in SN 09/427,351(21 sheets)

Copy of Declaration as filed in SN 09/427,351(2 sheets)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. section 1.10, on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Vicki L. Sgro


Signature of person mailing paper or fee

(Express Mail Certificate--page 1 of 1)